

# Building and Construction Industry Security of Payment Act 1999

Adjudication Activity Statistics Quarterly Report - No. 2 October to December 2020 **Disclaimer** – The statistics presented in this publication are based on data provided by the Authorised Nominating Authorities to NSW Fair Trading. The State of New South Wales disclaims any liability (including for negligence) to any person in respect of anything and the consequences of anything done or not done by any such person in reliance upon or omitted in these statistics.

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Quarterly reports represent the data presented as a snapshot in time. Subsequently, there may be discrepancies in totals, specifically in year to date (YTD) totals as a small percentage of applications are received but not yet determined within any given quarter. The 4th quarter report will show the most accurate YTD as it is compiled using updated quarterly data supplied by the Authorised Nominating Authorities.

Rounding: Where figures have been rounded, discrepancies may occur between sums of the component items and the totals shown,

#### **APPLICATIONS**

| Number and Status              | Number<br>(Quarterly) | YTD | Application by<br>Section | Number<br>(Quarter) | YTD |
|--------------------------------|-----------------------|-----|---------------------------|---------------------|-----|
| Applications Lodged            | 215                   | 507 | S17(1)(a)(i)              | 114                 | 230 |
| Determinations released        | 111                   | 294 | S17(1)(a)(ii)             | 4                   | 15  |
| Determinations pending release | 3                     | 5   | S17(1)(b)                 | 97                  | 262 |
| Determinations not released    | 101                   | 208 | Total Number              | 215                 | 507 |

| Reason for determination not being released | Number<br>(Quarterly) | YTD |
|---|-----------------------|-----|
| Withdrawn                                   | 34                    | 57  |
| Settled Privately                           | 13                    | 48  |
| Invalid Application                         | 5                     | 12  |
| Timed Out                                   | 16                    | 24  |
| Jurisdiction                                | 3                     | 6   |
| Not yet determined                          | 33                    | 63  |
| Adjudicator conflict of Interest            | 0                     | 0   |
| Fees not paid                               | 0                     | 0   |
| Other                                       | 0                     | 1   |

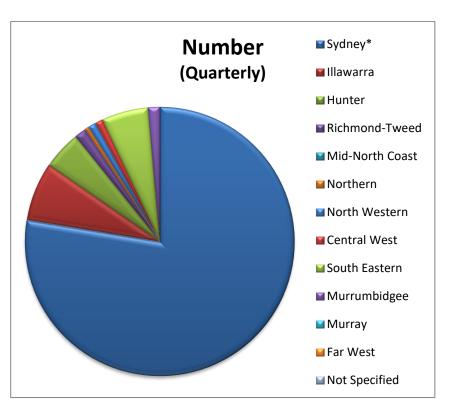
### **Applications by Region**

Region classification based on the Australian Standards Geographical Classification (ASGC) for NSW and Sydney (Statistical Division and Statistical Subdivisions, ABS Cat. No 1216.0) and determined using project address postcode information.

#### State–wide Distribution

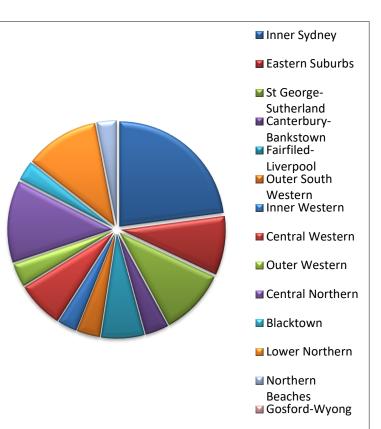
| Region          | Number    | % of  |
|-----------------|-----------|-------|
| (State-wide)    | (Quarter) | Total |
| Sydney*         | 167       | 77.6% |
| Illawarra       | 15        | 7.0%  |
| Hunter          | 10        | 7.9%  |
| Richmond-Tweed  | 3         | 2.8%  |
| Mid-North Coast | 0         | 5.1%  |
| Northern        | 1         | 2.8%  |
| North Western   | 2         | 2.3%  |
| Central West    | 2         | 5.6%  |
| South Eastern   | 12        | 2.8%  |
| Murrumbidgee    | 3         | 9.8%  |
| Murray          | 0         | 2.3%  |
| Far West        | 0         | 8.9%  |
| Not Specified   | 0         | 0.0%  |

\* See Sydney Distribution table



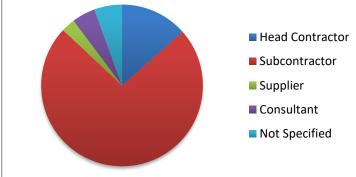
#### **Sydney Distribution**

| Region (Sydney)      | Number<br>(Quarter) | % of<br>Total |
|----------------------|---------------------|---------------|
| Inner Sydney         | 39                  | 22.9%         |
| Eastern Suburbs      | 15                  | 9.0%          |
| St George-Sutherland | 17                  | 10.2%         |
| Canterbury-Bankstown | 6                   | 3.6%          |
| Fairfield-Liverpool  | 11                  | 6.6%          |
| Outer South Western  | 6                   | 3.6%          |
| Inner Western        | 5                   | 3.0%          |
| Central Western      | 12                  | 7.2%          |
| Outer Western        | 6                   | 3.6%          |
| Central Northern     | 21                  | 12.7%         |
| Blacktown            | 5                   | 3.0%          |
| Lower Northern       | 19                  | 11.4%         |
| Northern Beaches     | 5                   | 3.0%          |
| Gosford-Wyong        | 0                   | 0.0%          |



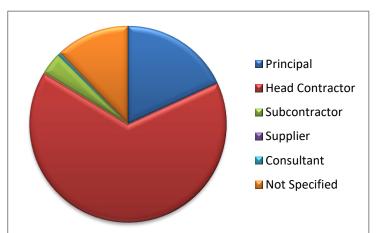
# **Application by Claimant Type**

| Claimant<br>Distribution | Number<br>(Quarter) | % of Total |
|--------------------------|---------------------|------------|
| Head Contractor          | 29                  | 13.5%      |
| Subcontractor            | 158                 | 73.5%      |
| Supplier                 | 6                   | 2.8%       |
| Consultant               | 10                  | 4.7%       |
| Not Specified            | 12                  | 5.6%       |
| Total Number             | 215                 |            |



# Application by Respondent Type

| Respondent<br>Distribution | Number<br>(Quarter) | % of<br>Total |
|----------------------------|---------------------|---------------|
| Principal                  | 39                  | 18.1%         |
| Head Contractor            | 141                 | 65.6%         |
| Subcontractor              | 8                   | 3.7%          |
| Supplier                   | 0                   | 0.0%          |
| Consultant                 | 1                   | 0.5%          |
| Not Specified              | 26                  | 12.1%         |
| Total Number               | 39                  |               |



# **CLAIMED AMOUNTS**

# **Claimed Amount and Adjudicated Amount Statistics**

| Claimed Amount v Adjudicated Amount Statistics            | Quarter (\$) | YTD (\$)      |
|---|--------------|---------------|
| Total Value Claimed Amounts                               | \$80,539,881 | \$230,509,243 |
| Total Value Claimed Amounts (Determinations released)     | \$38,985,296 | \$95,206,891  |
| Total Value Adjudicated Amounts (Determinations Released) | \$11,190,589 | \$34,269,217  |
| Average Claimed Amount                                    | \$374,604    |               |
| Average Adjudicated Amount (Determinations Released)      | \$100,816    |               |
| Maximum Claim Amount                                      | \$18,267,000 |               |
| Maximum Adjudicated Amount (Determinations Released)      | \$2,357,756  |               |
| Minimum Claimed Amount                                    | \$836        |               |
| Minimum Adjudicated Amount (Determinations Released)      | \$0          |               |

# DETERMINATIONS

# **Determinations by Claim Range**

| Claimed Amount (\$)   | Number<br>(Quarter)^ |              |             | Number awarded<br>100% of claimed<br>amount |
|-----------------------|----------------------|--------------|-------------|---|
| < \$5000              | 3                    | \$9,977      | \$3,326     | 2   |
| \$5,000 - \$9,999     | 14                   | \$110,391    | \$7,885     | 9   |
| \$10,000 - \$24,999   | 23                   | \$389,448    | \$16,933    | 12  |
| \$25,000 - \$39,999   | 16                   | \$505,383    | \$31,586    | 4   |
| \$40,000 - \$99,999   | 22                   | \$1,459,489  | \$66,340    | 5   |
| \$100,000 - \$249,999 | 13                   | \$2,152,734  | \$165,595   | 5   |
| \$250,000 - \$499,999 | 9                    | \$3,144,371  | \$349,375   | 1   |
| \$500,000 - \$749,999 | 5                    | \$2,840,705  | \$568,141   | 1   |
| \$750,000 - \$999,999 | 0                    | \$0.00       | \$0.00      | 0   |
| ≥\$1,000,000          | 6                    | \$20,718,296 | \$3,453,049 | 0   |

^ Determinations released

# **Determinations by Adjudicated Amounts**

| Claimed Amount (\$)   | Number<br>(Quarter)^ | Cumulative<br>Adjudicated<br>Amount (\$) | Average Adjudicated<br>Amount (\$) |
|-----------------------|----------------------|--|------------------------------------|
| < \$5000              | 30                   | \$16,834                                 | \$561                              |
| \$5,000 - \$9,999     | 12                   | \$89,285                                 | \$7,440                            |
| \$10,000 - \$24,999   | 22                   | \$367,330                                | \$16,697                           |
| \$25,000 - \$39,999   | 11                   | \$361,211                                | \$32,837                           |
| \$40,000 - \$99,999   | 11                   | \$700,575                                | \$63,689                           |
| \$100,000 - \$249,999 | 16                   | \$2,351,031                              | \$146,939                          |
| \$250,000 - \$499,999 | 4                    | \$1,285,865                              | \$321,466                          |
| \$500,000 - \$749,999 | 2                    | \$1,156,111                              | \$578,056                          |
| \$750,000 - \$999,999 | 0                    | \$0.00                                   | \$0.00                             |
| ≥\$1,000,000          | 3                    | \$4,862,347                              | \$1,620,782                        |

^ Determinations released

# Adjudication Certificates Issued by Adjudicated Amounts

| Adjudicated Amount (\$) | Number<br>(Quarter)^ | Number of<br>Certificates Issued | % of Certificates<br>Issued |
|-------------------------|----------------------|----------------------------------|-----------------------------|
| < \$5000*               | 30                   | 0                                | 0.0%                        |
| \$5,000 - \$9,999       | 12                   | 5                                | 41.7%                       |
| \$10,000 - \$24,999     | 22                   | 8                                | 36.4%                       |
| \$25,000 - \$39,999     | 11                   | 3                                | 27.3%                       |
| \$40,000 - \$99,999     | 11                   | 4                                | 36.4%                       |
| \$100,000 - \$249,999   | 16                   | 8                                | 50.0%                       |
| \$250,000 - \$499,999   | 4                    | 1                                | 25.0%                       |
| \$500,000 - \$749,999   | 2                    | 1                                | 50.0%                       |
| \$750,000 - \$999,999   | 0                    | 0                                | 0.0%                        |
| ≥\$1,000,000            | 3                    | 0                                | 0.0%                        |

^ Determinations released

\*Adjudicated amounts of \$nil not counted

# **ADJUDICATION FEES**

#### Adjudication fees by Claim Range

| Claimed<br>Amount (\$)   | Number<br>(Quarter)^ | Total     | Average  | Respondent<br>Share | Claimant<br>Share | Total (1) | Respondent<br>Share (2) | Claimant<br>Share (3) |
|--------------------------|----------------------|-----------|----------|---------------------|-------------------|-----------|-------------------------|-----------------------|
| < \$5.000                | 3                    | \$3,569   | \$1,190  | \$837               | \$353             | 35.8%     | 70.3%                   | 29.7%                 |
| \$5,000 - \$9,999        | 14                   | \$18,277  | \$1,306  | \$962               | \$344             | 16.6%     | 73.7%                   | 26.3%                 |
| \$10,000 - \$24,999      | 23                   | \$44,473  | \$1,934  | \$1,309             | \$625             | 11.4%     | 67.7%                   | 32.3%                 |
| \$25,000 - \$39,999      | 16                   | \$50,589  | \$3,162  | \$2,222             | \$939             | 10.0%     | 70.3%                   | 29.7%                 |
| \$40,000 - \$99,999      | 22                   | \$107,383 | \$4,881  | \$3,314             | \$1,567           | 7.4%      | 67.9%                   | 32.1%                 |
| \$100,000 -<br>\$249,999 | 13                   | \$112,812 | \$8,678  | \$4,717             | \$3,961           | 5.2%      | 54.4%                   | 45.6%                 |
| \$250.000 -<br>\$499,999 | 9                    | \$90,678  | \$10,075 | \$5,299             | \$4,776           | 2.9%      | 52.6%                   | 47.4%                 |
| \$500,000 -<br>\$749,999 | 5                    | \$86,976  | \$17,395 | \$14,758            | \$2,637           | 3.1%      | 84.8%                   | 15.2%                 |
| \$750,000 -<br>\$999,999 | 0                    | \$0       | \$0      | \$0                 | \$0               | 0.00%     | 0.0%                    | 0.0%                  |
| >\$1,000,000             | 6                    | \$125,079 | \$20,847 | \$17,297            | \$3,550           | 0.6%      | 83.0%                   | 17.0%                 |

^Determinations released

(1) Average Adjudication Fee expressed as a percentage of the Average Claimed Amount.

(2) Average Respondent share expressed as a percentage of the Average Adjudication Fee.

(3) Average Claimant share expressed as a percentage of the Average Adjudication Fee.

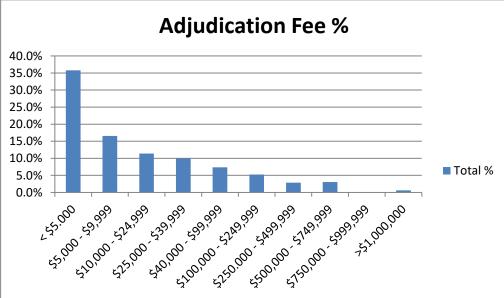


Figure 1: Average Adjudication Fee Expressed as a % of Average Amount (by Claim Range for this Quarter)

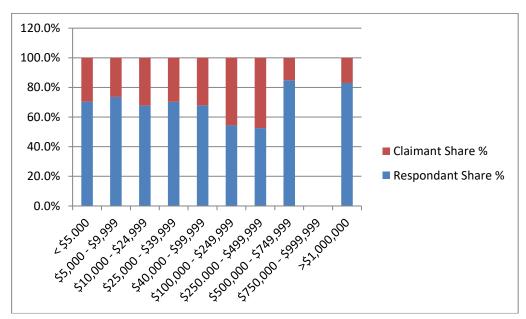


Figure 2: Parties Share of Adjudication Fees expressed as a % of Average Adjudication Fees (by Claim Range for this Quarter)



#### **Respondent Share Respondent Share** Claimant Share (3) **Claimed Amount Claimant Share** Total (1) (Quarter)^ 5 Average Number Total (\$ < \$5.000 \$1,233 \$259 \$152 12.4% 63.0% 37.0% 3 \$411 \$5,000 - \$9,999 14 \$6,171 \$441 \$337 \$104 5.6% 76.4% 23.6% \$10,000 - \$24,999 23 \$14,504 \$423 \$208 3.7% 67.1% 32.9% \$631 \$25,000 - \$39,999 16 \$15,726 \$983 \$538 \$445 3.1% 54.8% 45.3% \$40,000 - \$99,999 22 \$33,685 \$1,531 \$804 \$727 2.3% 52.5% 47.5% \$100,000 - \$249,999 13 \$36,792 \$2,830 \$1,509 \$1,321 1.7% 53.3% 46.7% \$250,000 - \$499,999 9 \$26,526 \$2,947 \$1,464 \$1,483 0.8% 49.7% 50.3% \$500,000 - \$749,999 5 \$25,489 \$5,098 \$3,831 \$1,266 0.9% 75.2% 24.8% \$750,000 - \$999,999 0 \$0 \$0 \$0 \$0 0.00% 0.00% 0.00% \$5,259 \$3,525 \$1,734 0.2% 67.0% >\$1,000,000 6 \$31,551 33.0%

#### ANA fees by Claim Range

^Determinations released

(1) Average ANA Fee expressed as a percentage of the Average Claimed Amount.

(2) Average Respondent share expressed as a percentage of the ANA Fee.

(3) Average Claimant share expressed as a percentage of the ANA Fee.

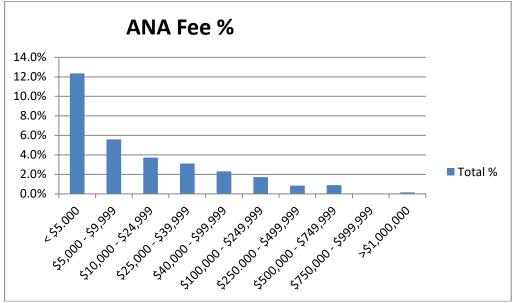
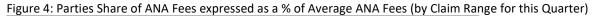
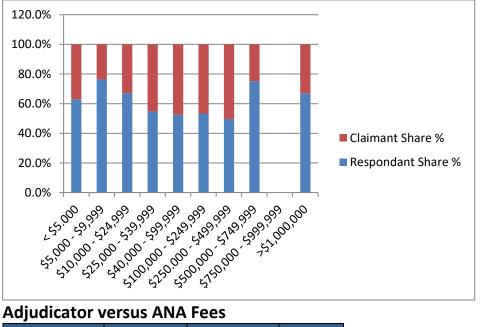


Figure 3: Average ANA Fee Expressed as a % of Average Amount (by Claim Range for this Quarter)





#### **Adjudicator versus ANA Fees**

| Adjudicator v<br>ANA Fees^ | Quarter \$ | YTD \$      | % of<br>Total<br>Fees |
|----------------------------|------------|-------------|-----------------------|
| Adjudicator                | \$465,234  | \$1,024,655 | 70.8%                 |
| ANA                        | \$191,676  | \$435,029   | 29.2%                 |
| Total Fees                 | \$656,909  | \$1,459,684 |                       |

^Determinations released

| Total Adjudication Fees^ | Quarter \$ | YTD (\$)    |
|--------------------------|------------|-------------|
| Total Fees*              | \$639,836  | \$1,442,611 |
| Average Total Fee        | \$5,764    | \$10,151    |
| Max Total Fee            | \$30,822   | \$99,165    |
| Min Total Fee            | \$0        | \$0         |

^Determinations released

# **ADDITIONAL STATISTICS**

| Additional Claimed Amount Statistics                          | Quarter (\$) | YTD (\$)    |
|---|--------------|-------------|
| Total (Determinations Released and Not Yet Released)          | \$4,290,287  | \$4,804,754 |
| Total Value Adjudicated Amounts (Determinations Not Released) | \$836        | \$21,979    |
| Average Adjudicated Amounts (Determinations Not Released)     | \$279        |             |
| Maximum Adjudicated Amounts (Determinations Not Released)     | \$836        |             |
| Minimum Adjudicated Amounts (Determinations Not Released)     | \$0          |             |

# **NSW Fair Trading**

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